

## Annexure-2

### Bulk Upload File Format- Direct Investor Payment Facility

#### 1: Bulk Upload File Format Direct Investor Demat Mode

##### a: Bulk Upload Order Entry File Format- (Comma separated file [csv] without header)

| S.No | Field Name           | Character Alpha / Numeric | Mandatory / non-Mandatory | Remarks                                                                               |
|------|----------------------|---------------------------|---------------------------|---------------------------------------------------------------------------------------|
| 1    | Symbol               | AlphaNumeric 12           | Mandatory                 |                                                                                       |
| 2    | Series               | AlphaNumeric 2            | Mandatory                 |                                                                                       |
| 3    | DP Name              | Char 4                    | Mandatory                 |                                                                                       |
| 4    | DP ID                | AlphaNumeric 8            | Mandatory for NSDL        | NSDL                                                                                  |
| 5    | Ben ID               | AlphaNumeric 16           | Mandatory                 | NSDL (8) & CDSL (16)                                                                  |
| 6    | Bid Quantity         | Numeric 15                | Mandatory                 | Only integer                                                                          |
| 7    | Rate / Price         | Numeric 6.2               | Mandatory                 | Should be same as provided by Exchange                                                |
| 8    | PAN No               | AlphaNumeric 10           | Mandatory                 |                                                                                       |
| 9    | Reference Number     | AlphaNumeric 16           | Non Mandatory             |                                                                                       |
| 10   | Order Number         | Numeric 16                | Mandatory                 | Put 0 or blank in case of fresh order ( will be replaced by order number in out file) |
| 11   | Activity Type        | Char 1                    | Mandatory                 | N-New entry<br>M-Modification<br>D-Deletion                                           |
| 12   | UCC Code             | Char 1                    | Non Mandatory             |                                                                                       |
| 13   | Order Type           | AlphaNumeric 10           | Default “D”               | N-Normal;D-Direct                                                                     |
| 14   | Payment Mode         | Char 1                    | Mandatory                 | R-RTGS;N-NEFT;D-Direct Same Bank transfer                                             |
| 15   | UTR/ Bank Ref Number | AlphaNumeric 22           | Mandatory                 |                                                                                       |
| 16   | Bank Name            | AlphaNumeric 50           | Mandatory                 |                                                                                       |
| 17   | Bank IFSC            | AlphaNumeric 11           | Mandatory                 |                                                                                       |
| 18   | Bank Acc No          | AlphaNumeric 16           | Mandatory                 |                                                                                       |

**b: Bulk Upload Order Entry Success File**

| S.No | Field Name           | Character Alpha / Numeric | Mandatory / non-Mandatory | Remarks                                                                                         |
|------|----------------------|---------------------------|---------------------------|-------------------------------------------------------------------------------------------------|
| 1    | Symbol               | AlphaNumeric 12           | Mandatory                 |                                                                                                 |
| 2    | Series               | AlphaNumeric 2            | Mandatory                 |                                                                                                 |
| 3    | DP Name              | Char 4                    | Mandatory                 |                                                                                                 |
| 4    | DP ID                | AlphaNumeric 8            | Mandatory for NSDL        | NSDL                                                                                            |
| 5    | Ben ID               | AlphaNumeric 16           | Mandatory                 | NSDL (8) & CDSL (16)                                                                            |
| 6    | Bid Quantity         | Numeric 15                | Mandatory                 |                                                                                                 |
| 7    | Rate / Price         | Numeric 6.2               | Mandatory                 | Should be same as provided by Exchange                                                          |
| 8    | PAN No               | AlphaNumeric 10           | Mandatory                 |                                                                                                 |
| 9    | Reference Number     | AlphaNumeric 16           | Non Mandatory             |                                                                                                 |
| 10   | Order Number         | Numeric 16                | Mandatory                 | BID ID<br>Put 0 or blank in case of fresh order ( will be replaced by order number in out file) |
| 11   | Activity Type        | Char 1                    | Mandatory                 | N-New entry<br>M-Modification<br>D-Deletion                                                     |
| 12   | UCC Code             | AlphaNumeric 10           | Non Mandatory             |                                                                                                 |
| 13   | Order Type           | Char 1                    | Default “D”               | N-Normal;D-Direct                                                                               |
| 14   | Payment Mode         | Char 1                    | Mandatory                 | R-RTGS;N-NEFT;D-Direct Same Bank transfer                                                       |
| 15   | UTR/ Bank Ref Number | AlphaNumeric 22           | Mandatory                 |                                                                                                 |
| 16   | Bank Name            | AlphaNumeric 50           | Mandatory                 |                                                                                                 |
| 17   | Bank Ifsc            | AlphaNumeric 11           | Mandatory                 |                                                                                                 |
| 18   | Bank Acc No          | AlphaNumeric 16           | Mandatory                 |                                                                                                 |
| 19   | Application Number   | AlphaNumeric 16           | Default                   | Auto generated                                                                                  |
| 20   | User                 | AlphaNumeric 30           | Mandatory                 | Will be the login id                                                                            |

**c: Bulk Upload Order Entry Error File**

| S.No | Field Name           | Character Alpha / Numeric | Mandatory / non-Mandatory | Remarks                                                                                         |
|------|----------------------|---------------------------|---------------------------|-------------------------------------------------------------------------------------------------|
| 1    | Symbol               | AlphaNumeric 12           | Mandatory                 |                                                                                                 |
| 2    | Series               | AlphaNumeric 2            | Mandatory                 |                                                                                                 |
| 3    | DP Name              | Char 4                    | Mandatory                 |                                                                                                 |
| 4    | DP ID                | AlphaNumeric 8            | Mandatory for NSDL        | NSDL                                                                                            |
| 5    | Ben ID               | AlphaNumeric 16           | Mandatory                 | NSDL (8) & CDSL (16)                                                                            |
| 6    | Bid Quantity         | Numeric 15                | Mandatory                 |                                                                                                 |
| 7    | Rate / Price         | Numeric 6.2               | Mandatory                 | Should be same as provided by Exchange                                                          |
| 8    | PAN No               | AlphaNumeric 10           | Mandatory                 |                                                                                                 |
| 9    | Reference Number     | AlphaNumeric 16           | Non Mandatory             |                                                                                                 |
| 10   | Order Number         | Numeric 16                | Mandatory                 | BID ID<br>Put 0 or blank in case of fresh order ( will be replaced by order number in out file) |
| 11   | Activity Type        | Char 1                    | Mandatory                 | N-New entry<br>M-Modification<br>D-Deletion                                                     |
| 12   | UCC Code             | AlphaNumeric 10           | Non Mandatory             |                                                                                                 |
| 13   | Order Type           | Char 1                    | Default “D”               | N-Normal;D-Direct                                                                               |
| 14   | Payment Mode         | Char 1                    | Mandatory                 | R-RTGS;N-NEFT;D-Direct Same Bank transfer                                                       |
| 15   | UTR/ Bank Ref Number | AlphaNumeric 22           | Mandatory                 |                                                                                                 |
| 16   | Bank Name            | AlphaNumeric 50           | Mandatory                 |                                                                                                 |
| 17   | Bank Ifsc            | AlphaNumeric 11           | Mandatory                 |                                                                                                 |
| 18   | Bank Acc No          | AlphaNumeric 16           | Mandatory                 |                                                                                                 |
| 19   | Application Number   | AlphaNumeric 16           | Default                   |                                                                                                 |
| 20   | User                 | AlphaNumeric 30           | Mandatory                 | Will be the login id                                                                            |
| 21   | Error message        | AlphaNumeric 100          | Mandatory                 |                                                                                                 |

## 2: Bulk Upload File Format Direct Investor Physical Mode

### a: Bulk Upload Order Entry File Format- Physical Mode (Comma separated file [csv] without header)

| S.No | Field Name           | Character Alpha / Numeric | Mandatory / non-Mandatory | Remarks                                                                                         |
|------|----------------------|---------------------------|---------------------------|-------------------------------------------------------------------------------------------------|
| 1    | Symbol               | AlphaNumeric 12           | Mandatory                 |                                                                                                 |
| 2    | Series               | AlphaNumeric 2            | Mandatory                 |                                                                                                 |
| 3    | Client Code          | AlphaNumeric 10           | Mandatory                 |                                                                                                 |
| 4    | Bid Quantity         | Numeric 15                | Mandatory                 |                                                                                                 |
| 5    | Rate / Price         | Numeric 6.2               | Mandatory                 | Should be same as provided by Exchange                                                          |
| 6    | Reference Number     | AlphaNumeric 16           | Non Mandatory             |                                                                                                 |
| 7    | Order Number         | Numeric 16                |                           | BID ID<br>Put 0 or blank in case of fresh order ( will be replaced by order number in out file) |
| 8    | Activity Type        | Char 1                    | Mandatory                 | N-New entry<br>M-Modification<br>D-Deletion                                                     |
| 9    | Order Type           | Char 1                    | Default “D”               | N-Normal;D-Direct                                                                               |
| 10   | Payment Mode         | Char 1                    | Mandatory                 | R=RTGS;N=NEFT;D=D<br>irect same Bank Transfer                                                   |
| 11   | UTR/ Bank Ref Number | AlphaNumeric 22           | Mandatory                 |                                                                                                 |
| 12   | Bank Name            | AlphaNumeric 50           | Mandatory                 |                                                                                                 |
| 13   | Bank Ifsc            | AlphaNumeric 11           | Mandatory                 |                                                                                                 |
| 14   | Bank Acc No          | AlphaNumeric 16           | Mandatory                 |                                                                                                 |

**b: Bid Upload order Success File Physical Mode**

| S. No | Field Name           | Character Alpha / Numeric | Mandatory / non-Mandatory | Remarks                                                                                         |
|-------|----------------------|---------------------------|---------------------------|-------------------------------------------------------------------------------------------------|
| 1     | Symbol               | Alpha Numeric 12          | Mandatory                 |                                                                                                 |
| 2     | Series               | Alpha Numeric 2           | Mandatory                 |                                                                                                 |
| 3     | Client Code          | Alpha Numeric 10          | Mandatory                 |                                                                                                 |
| 4     | Bid Quantity         | Numeric 15                | Mandatory                 |                                                                                                 |
| 5     | Rate / Price         | Numeric 6.2               | Mandatory                 | Should be same as provided by Exchange                                                          |
| 6     | Reference Number     | Alpha Numeric 16          | Non Mandatory             |                                                                                                 |
| 7     | Order Number         | Numeric 16                |                           | BID ID<br>Put 0 or blank in case of fresh order ( will be replaced by order number in out file) |
| 8     | Activity Type        | Char 1                    | Mandatory                 | N-New entry<br>M-Modification<br>D-Deletion                                                     |
| 9     | Order Type           | Char 1                    | Default “D”               | N-Normal;D-Direct                                                                               |
| 10    | Payment Mode         | Char 1                    | Mandatory                 | R=RTGS;N=NEFT;D=Direct same Bank Transfer                                                       |
| 11    | UTR/ Bank Ref Number | Alpha Numeric 22          | Mandatory                 |                                                                                                 |
| 12    | Bank Name            | Alpha Numeric 50          | Mandatory                 |                                                                                                 |
| 13    | Bank Ifsc            | Alpha Numeric 11          | Mandatory                 |                                                                                                 |
| 14    | Bank Acc No          | Alpha Numeric 16          | Mandatory                 |                                                                                                 |
| 15    | Application Number   | Alpha Numeric 16          | Default                   | Auto generated                                                                                  |
| 16    | User                 | Alpha Numeric 30          | Mandatory                 | Will be the login id                                                                            |

**c: Bid Upload order Error File Physical Mode**

| <b>S. No</b> | <b>Field Name</b>    | <b>Character Alpha / Numeric</b> | <b>Mandatory / non-Mandatory</b> | <b>Remarks</b>                                                                                  |
|--------------|----------------------|----------------------------------|----------------------------------|-------------------------------------------------------------------------------------------------|
| 1            | Symbol               | Alpha Numeric 12                 | Mandatory                        |                                                                                                 |
| 2            | Series               | Alpha Numeric 2                  | Mandatory                        |                                                                                                 |
| 3            | Client Code          | Alpha Numeric 10                 | Mandatory                        |                                                                                                 |
| 4            | Bid Quantity         | Numeric 15                       | Mandatory                        |                                                                                                 |
| 5            | Rate / Price         | Numeric 6.2                      | Mandatory                        | Should be same as provided by Exchange                                                          |
| 6            | Reference Number     | Alpha Numeric 16                 | Non Mandatory                    |                                                                                                 |
| 7            | Order Number         | Numeric 16                       |                                  | BID ID<br>Put 0 or blank in case of fresh order ( will be replaced by order number in out file) |
| 8            | Activity Type        | Char 1                           | Mandatory                        | N-New entry<br>M-Modification<br>D-Deletion                                                     |
| 9            | Order Type           | Char 1                           | Default “D”                      | N-Normal;D-Direct                                                                               |
| 10           | Payment Mode         | Char 1                           | Mandatory                        | R=RTGS;N=NEFT;D=D<br>irect same Bank Transfer                                                   |
| 11           | UTR/ Bank Ref Number | Alpha Numeric 22                 | Mandatory                        |                                                                                                 |
| 12           | Bank Name            | Alpha Numeric 50                 | Mandatory                        |                                                                                                 |
| 13           | Bank Ifsc            | Alpha Numeric 11                 | Mandatory                        |                                                                                                 |
| 14           | Bank Acc No          | Alpha Numeric 16                 | Mandatory                        |                                                                                                 |
| 15           | Application Number   | Alpha Numeric 16                 | Default                          |                                                                                                 |
| 16           | User                 | Alpha Numeric 30                 | Mandatory                        | Will be the login id                                                                            |
| 17           | Error message        | Alpha Numeric 100                | Non Mandatory                    |                                                                                                 |

### 3: Member Bid Status Report

|                             |                                                  |              |                                                                                                                                                                                                                                                                         |
|-----------------------------|--------------------------------------------------|--------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>File name</b>            | SGB_MBSR_<TM_CODE>_DDMMYYYY.CSV                  |              |                                                                                                                                                                                                                                                                         |
| <b>File Location</b>        | Reports folder                                   |              |                                                                                                                                                                                                                                                                         |
| <b>Description</b>          | Member Bid Status Report on T+1 day for all Bids |              |                                                                                                                                                                                                                                                                         |
|                             |                                                  |              |                                                                                                                                                                                                                                                                         |
| <b>Field Name</b>           | <b>Data type</b>                                 | <b>Width</b> | <b>Default value</b>                                                                                                                                                                                                                                                    |
| Serial Number               | number                                           | 7            |                                                                                                                                                                                                                                                                         |
| Member type                 | string                                           | 1            | M                                                                                                                                                                                                                                                                       |
| Member code                 | string                                           | 5            |                                                                                                                                                                                                                                                                         |
| Symbol                      | string                                           | 10           |                                                                                                                                                                                                                                                                         |
| Bid Number                  | string                                           | 16           |                                                                                                                                                                                                                                                                         |
| Quantity                    | number                                           | 3            |                                                                                                                                                                                                                                                                         |
| Amount                      | number                                           | 15,2         |                                                                                                                                                                                                                                                                         |
| Order Type                  | Character                                        | 1            | “N” Normal<br>“D” Direct                                                                                                                                                                                                                                                |
| Investor’s Bid Payment Mode | number                                           | 1            | 1 - Digital Payment<br>0 - Non Digital Payment                                                                                                                                                                                                                          |
| Remarks                     | string                                           | 100          | BID REJECTED FOR FUND SHORTAGE<br>BID REJECTED BY RBI<br>BID WITHDRAWN BY THE PARTICIPANT BEFORE FUNDS COLLECTION<br>BID CANCELLED BY THE PARTICIPANT SUBMITTED FOR SGB ALLOTMENT<br>BID WITHHELD FOR INSUFFICIENT INVESTOR INFORMATION<br>FUNDS FOR THE BID IS PENDING |